PATENT 207/145

## DECLARATION Utility Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled <a href="ENZYME CATALYZED TEMPLATE-INDEPENDENT CREATION OF PHOSPHODIESTER BONDS USING PROTECTED NUCLEOTIDES">ENZYME CATALYZED TEMPLATE-INDEPENDENT CREATION OF PHOSPHODIESTER BONDS USING PROTECTED NUCLEOTIDES</a> the specification of which:

#### Check One

 is attached hereto. was filed on	Application	Serial	No.	
•				

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

		Priority Claimed		
Application Number	Date of Filing	Yes	No	
			_	

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal

DECLARATION Utility Appl:	ication	Page 2
Regulations, §1.56(a) which application and the national application.	occurred between al or PCT interna	the filing date of the prior tional filing date of this
Application Number	Filing Date	Status Patented, Pending or Abandoned
I further declare that all strue and that all statements to be true; and further that that willful false statement or imprisonment, or both, ustates Code, and that such validity of the application	s made on informat these statements is and the like so under section 1001 willful false st	tion and belief are believed were made with the knowledge made are punishable by fine of Title 18 of the United atements may jeopardize the
Full Name of Inventor Andre Inventor's Signature Residence San Diego Country of Citizenship Unit	Calif.	Date <u> </u>
Post Office Address 660 To	rrance Street, Sar	Diego, California 92103
Full Name of Inventor Floyd Inventor's Signature Act Residence Del Macr Country of Citizenship Unit	ited States of Ame	
Post Office Address 117 Via	<u>de la Valle, Del</u>	Mar, California



PATENT

Attorney Docket No. 207/145

Applicant or Patentee: Serial or Patent No.: Filed or Issued:

Andrew Hiatt, et al. Not Yet Assigned September 1, 1994

For:	ENZYME CATALYZED TEMPLAT-INDEPENDENT CREATION OF PHOSPHODIESTER BONDS USING PROTECTED NUCLEOTIDES
	VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R.1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN
I herek	by declare that I am:
-	the owner of the small business concern identified below:  an official of the small business concern empowered to act on behalf of the concern identified below:
	CONCERN: Rose-Hiatt Biotechnology Partnership  S OF CONCERN: 10457 Roselle Street, Suite C San Diego, California 92121
small ! C.F.R. Title : includi this st over t full-ti fiscal or ind: third-r I hereb	by declare that the above-identified small business concern qualifies as a business concern as defined in 13 C.F.R. 121.3-18, and reproduced in 37 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of 35, United States Code, in that the number of employees of the concern, and those of it affiliates, does not exceed 500 persons. For purposes of attement, (1) the number of employees of the business concern is the average the previous fiscal year of the concern of the persons employed on a time, part-time or temporary basis during each of the pay periods of the year, and (2) concerns are affiliates of each other when either, directly irectly, one concern controls or has the power to control the other, or a party or parties controls or has the power to control both.  By declare that rights under contract or law have been conveyed to and remain the small business concern identified above with regard to the above-entitled con described in
Tivelic	X_ the specification filed herewith
	application serial number, filed
	Patent No, issued

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. 1.9(d) or by any concern which would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).

# PATENT Attorney Docket No. 207/145

NAME ADDRESS			
INDIVIDU	ALSMALL BUS	SINESS CONCERN	_ NONPROFIT ORGANIZATION
NAME ADDRESS			
INDIVIDU	ALSMALL BUS	SINESS CONCERN	_ NONPROFIT ORGANIZATION
change in status respaying, or at the ti	sulting in loss o ime of paying, the date on which sta	of entitlement to e earliest of the	patent, notification of any small entity status prior to issue fee or any maintenance susiness entity is no longer
that all statements further that these statements and the under Section 1001	s made on informa statements were like so made are of Title 18 of t y jeopardize the v	tion and belief a made with the k punishable by fin the United States validity of the app	y own knowledge are true and are believed to be true; and nowledge that willful false he or imprisonment, or both, Code, and that such willful plication, any patent issuing ent is directed.
NAME OF PERSON SIGN: TITLE OF PERSON OTH ADDRESS OF PERSON SI	ER THAN OWNER:	Andrew C. Hiatt Partner 10457 Roselle Str San Diego, Califo	reet, Suite C ornia, 92121
Signature	idres C.	Mall D	ate 9/1/94

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors:

ANDREW C. HIATT and

FLOYD ROSE

Serial No.:

Not Yet Assigned

Filed:

September 1, 1994

For:

ENZYME CATALYZED TEMPLATE-)

INDEPENDENT CREATION OF PHOSPHODIESTER BONDS USING)

PROTECTED NUCLEOTIDES

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

To be assigned Group No.:

To be assigned Examiner:

\*Express Mail\* mailing label number TB597031974 US

September 1, 1994 Date of Deposit \_\_

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trade marks, Washington, DC 20231.

Kim Arnold-Cabell Type or Printed Name of Person Mailing Paper or Fee

# POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST

As assignee of record of the entire interest of the above identified patent application, ROSE-HIATT BIOTECHNOLOGY PARTNERSHIP, hereby appoints, with full power of substitution and revocation, the following attorney(s) and/or agents(s) to prosecute the above identified patent application and transact all business in the Patent and Trademark Office connected therewith:

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ROSE-HIATT BIOTECHNOLOGY PARTNERSHIP

Date:	<u> </u>	Ву:	Andrew C. 16all
		Name:	Andrew C. Hiatt
		Title:	Partner
		ву:	flago Ruse
		Name:	Floyd Rose
		Title:	Partner

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